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Meredith Abrams advises asset management clients including registered funds and private funds on a broad range of transactional, regulatory and compliance matters. She has significant experience advising on mergers and acquisitions involving broker-dealers and asset managers and regularly advises broker-dealers on initial registrations and expanding their securities business to include investment banking and capital markets activities. She advises private equity firms, real estate fund managers, other private fund managers and broker-dealers on various complex regulatory matters, including compliance with the Advisers Act, Exchange Act, FINRA Rules and other securities laws.

PRACTICE FOCUS

Corporate Registered Funds

Funds Regulatory and Investigations

INDUSTRIES

Financial Services

