

Michael Osnato, Allison Bernbach and William LeBas Author Article on SEC's Continued Focus on Cybersecurity

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Partner Michael Osnato, Senior Counsel Allison Bernbach and Associate William LeBas authored an article titled, “Key Takeaways From Recent SEC Cybersecurity Charges” which was published by the *Harvard Law School Forum on Corporate Governance*. The article explores the SEC’s three recently announced settlements with eight registered investment advisers and broker-dealers for violations of Rule 30(a) of Regulation S-P and, in the case of one of the firms charged, for violations of Section 206(4) and Rule 206(4)-7 of the Advisers Act. It further explains how these settlements reflect the Enforcement Division’s continued focus on cybersecurity and highlights that advisers and broker-dealers should ensure that they are regularly reviewing and testing their cybersecurity policies and procedures.

To read the full article, please [click here](#).

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